



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Chang-Ping Lee, et al  
**Title:** Method and Apparatus for Securing Electronic Data  
**Serial No.:** 10/074,996  
**Filing Date:** 02/12/2002  
**Examiner:** Unknown  
**Group Art Unit:** Unknown  
**Docket No.:** SS-008

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May 27, 2002

ASSISTANT COMMISSIONER FOR PATENTS  
Box IDS  
Washington, DC 20231

Re: INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.56 and 1.97(b)

Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. The submission herewith does not constitute an admission of the references as the prior art or an exhaustive search performed in the art. The applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR 1.56 and 1.97. It is respectfully requested that the cited references be considered and that the enclosed copy of Information Disclosure Statement be initialed by the Examiner to indicate such consideration and a copy thereof returned to the applicant.


This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, the applicants believe that there are no fees due in connection with the filing of this Information Disclosure Statement. However, if the PTO believes otherwise please charge our deposit account No. 502107 any fees that may be due.

NR

Please telephone the undersigned at (408)777-8873, if there are any questions.

I hereby certify that this correspondence is being deposited with the United States Postal Service as parcel mail in a box addressed to "Commissioner of Patents and Trademarks, Washington, DC 20231", on May 27, 2002.

Name: Joe Zheng

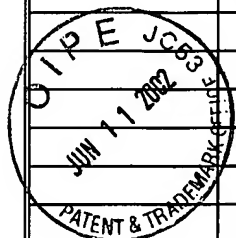
Signature: 

Respectfully submitted;

  
Joe Zheng  
Reg.: No. 39,450

<b>Form PTO-1449</b> (Modified)		<b>Atty Docket No.:</b> SS-008		<b>Application No.:</b> 10/074,996			
<b>List of Patents and Publications Statement</b> (Use several sheets if necessary)				<b>Applicant:</b> Chang-Ping Lee			
				<b>Filing Date:</b> 02/12/2002			
<b>REFERENCE DESIGNATION</b>			<b>U.S. PATENT DOCUMENTS</b>				
Examiner Initials		Document No.	Date	Patentee	Class	Sub- Class	Filing date if appropriate
		A.	4,799,258	Jan. 17, 1989	Davies	380/21	02/07/1985
		B.	5,276,735	Jan. 4, 1994	Boebert et al.	380/21	04/17/1992
		C.	5,499,297	Mar. 12, 1996	Boebert	380/23	12/20/1994
		D.	5,502,766	Mar. 26, 1996	Boebert et al.	380/25	10/26/1993
		E.	5,600,722	Feb. 4, 1997	Yamaguchi et al.	380/21	10/06/1993
		F.	5,745,573	Apr. 28, 1998	Lipner et al.	380/21	01/10/1997
		G.	5,862,325	Jan. 19, 1999	Reed et al.	395/200.31	09/27/1996
		H.	5,933,498	Aug. 3, 1999	Schneck et al.	380/4	11/05/1997
		I.	5,987,440	Nov. 16, 1999	O'Neil et al.	705/44	07/22/1997
		J.	6,088,717	Jul. 11, 2000	Reed et al.	709/201	08/31/1998
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		L.	6,098,056	Aug. 1, 2000	Rusnak et al.	705/75	11/24/1997
		M.	6,158,010	Dec. 5, 2000	Moriconi et al.	713/201	02/12/1999
		N.	6,161,139	Dec. 12, 2000	Win et al.	709/229	02/12/1999
		O.	6,182,142 B1	Jan. 30, 2001	Win et al.	709/229	07/10/1998
		P.	6,226,745 B1	May 1, 2001	Wiederhold et al.	713/200	03/16/1998
		Q.	6,249,873 B1	Jun. 19, 2001	Richard et al.	713/200	07/13/1999
		R.	6,272,631 B1	Aug. 7, 2001	Thomlinson et al.	713/155	06/30/1997
		S.	6,272,632 B1	Aug. 7, 2001	Carmen et al.	713/168	02/12/1998
		S1.	6,289,450 B1	Sep. 11, 2001	Pensak et al.	713/167	05/28/1999
		S2.	6,314,409 B2	Nov. 6, 2001	Schneck et al.	705/54	10/26/1998
		S3	6,339,825 B2	Jan. 12, 2002	Pensak et al.	713/158	07/18/2001

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<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials		Document No.	Date	Country	Class	Sub- Class	Trans- lation
	T0.						
	T1.						
	T2.						
	T3.						
	T4.						



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**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

Examiner Initials	Publication Details	
	U	"Inside Encrypting File System", Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V0.	"Inside Encrypting File System", Part 2, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V1.	"Security with Encrypting File System", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V2.	"How EFS Works", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V3.	"Encrypting File System", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V4.	"Features of EFS", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V5.	" Windows 2000 EFS", in the April 1999 issue of <i>Windows NT Magazine</i>
	V6.	Schneck et al, "System for controlling access and distributing of digital property", US Patent Publication, Sep. 13, 2001, US2001/0021926A1.
	V7.	Felsher "Information record infrastructure, system and method", US Patent Application, Jan. 24, 2002, US2002/0010679A1
	V8.	
	V9.	

Examiner	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to the applicant.